

Addx - Bayshore Regional Sewerage Authority

State of New Jersey

Department of Treasury

Integrity Monitoring Reporting Model

For Quarter Ending 9/30/2014 (3 months ending prior to date of report)

Reports required under A-60 will be submitted by Integrity Monitors on the first business day of each calendar quarter to the State Treasurer and will contain detailed information on the projects/contracts/programs funded by the Disaster Relief Appropriations Act.

No.	Recipient Data Elements	Response	Comments
<b>A. General Info</b>			
1.	Recipient of funding	Bayshore Regional Sewage Authority (BRSA)	
2.	Federal Funding Agency? (e.g. HUD, FEMA)	Federal Emergency Management Authority (FEMA)	
3.	State Funding (if applicable)	N/A	
4.	Award Type	Public Assistance - Category G	
5.	Award Amount	N/A	Construction contract(s) not awarded to date. Solicitation date now expected in December 2014
6.	Contract/Program Person/Title	Bayshore Regional Sewage Authority (BRSA), Union Beach, NJ	Robert Fischer, Executive Director; George Rolon, Project Manager, New JersEnvironmental Infrastructure Trust (NJEIT)
7.	Brief Description, Purpose and Rationale of Project/Program	Due to high winds, heavy rain, subsequent flooding and storm surge from SuperStorm Sandy, the BRSA sustained major damage to two incinerators; the Dorr Oliver as well as the Niro incinerator, in addition to its adjacent equipment. The Project Worksheet (PW) relates to the demolition and reconstruction of these two incinerators and adjacent equipment.	
8.	Contract/Program Location	BRSA, Union Beach, NJ	
9.	Amount Expended to Date		\$0 Solicitation for construction contracts now expected to be released in December 2014 or shortly thereafter.
10.	Amount Provided to other State or Local Entities	None	
11.	Completion Status of Contract or Program		0% Solicitation for construction contracts are now expected to be released in December 2014 or shortly thereafter.
12.	Expected Contract End Date/Time Period		Sep-16 Solicitation of construction work was delayed for over 6 months due to the under realization of the complexity of the project. As a result, completion of work at this time is estimated to be 12/2016
<b>B. Monitoring Activities</b>			
13.	If FEMA funded, brief description of the status of the project worksheet and its support.	As this project has not been awarded or solicited yet, the NJEIT was in the process of conducting a Project Worksheet comparison review to the Plans & Specifications (P&S), and due to the complexity of the projects/correlating worksheets, it has taken longer than expected. As such, there was a delay in the project for approximately six (6) months.	

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14.	Quarterly Activities/Project Description (include number of visits to meet with recipient and sub recipient, including who you met with, and any site visits warranted to where work was completed)	Addx Corporation was brought into this engagement on May 2, 2014 and had an initial kickoff meeting on May 19, 2014. In addition, Addx Corporation has previously reviewed the policies and procedures in place for BRSA. However, solicitation of the construction contract has been delayed since 06/2014. It is now anticipated that solicitation of the contract will occur in 12/2014 and award and work will commence at the end of 01/2015. Bayshore's engineering / draft contract documents have remained under review by the New Jersey Environmental Infrastructure Trust (NJEIT) and BRSA and due to the complexities of the project, this has caused delays in the solicitation.	
15.	Brief Description to confirm appropriate data/information has been provided by recipient and what activities have been taken to review in relation to the project/contract/program.	Obtained electronic copy of the BRSA "Purchasing and Payment of Invoice Policy, Readopted February 2014"; and review conducted.	
16.	Description of quarterly auditing activities that have been conducted to ensure procurement compliance with terms and conditions of the contracts and agreements.	Previously Obtained electronic copy of the BRSA "Purchasing and Payment of Invoice Policy, Readopted February 2014"; and started review. As such, interviews of procurement personnel were conducted. Further, completed review of "Purchasing and Payment of Invoice Policy, there appears to be internal control system processes that could lead to deficiencies in the process. As such, interviews of procurement personnel are being arranged to fully comprehend BRSA's current procurement process and its processes.	Although, BRSA has previously received Federal funds and has an established purchasing and invoice payment process, the anticipated construction contracts are going to be large and multifaceted. They will therefore require BRSA to exercise vigilance in accepting deliverables, paying invoices, and authorizing progress payments. Additionally, because both FEMA and Trust funds will be used, BRSA will have to be hypersensitive to the criticality of segregating funds and documentation.
17.	Have payment requisitions in connection with the contract/program been reviewed? Please describe	N/A	Solicitation for construction contracts are now expected to be released in December 2014 or shortly thereafter.

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18.	Description of quarterly activity to prevent and detect waste, fraud and abuse.	N/A	Solicitation for construction contracts are now expected to be released on December 2014 or shortly thereafter.
19.	Provide details of any integrity issues/findings	None	
20.	Provide details of any work quality or safety/environmental/historical preservation issue(s).	N/A	Solicitation of construction work was delayed for over 6 months. As a result, completion of work at this time is estimated to be 12/2016
21.	Provide details on any other items of note that have occurred in the past quarter	None	
22.	Provide details of any actions taken to remediate waste, fraud and abuse noted in past quarters	N/A	Solicitation of construction work was delayed for over 6 months. As a result, completion of work at this time is estimated to be 12/2016
<b>C. Miscellaneous</b>			
23.	Attach a list of hours and expenses incurred to perform your quarterly integrity monitoring review	Addx Corporation 55 hours ; \$6,496.49. None this quarter.	
24.	Add any item, issue or comment not covered in previous sections but deemed pertinent to monitoring program.	None	

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Name of Integrity Monitor: Timothy Herlihy

Name of Report Preparer: Harry Armstrong

Signature: *Wm. Harry Armstrong* (Electronic)

Date: December 31, 2014